

## TED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

Serial No.: 09/045,036

Filing Date: March 20, 1998

For: METHOD AND APPARATUS FOR

FACILITATING THE PLAY OF

FRACTIONAL LOTTERY TICKETS

UTILIZING POINT-OF-SALE

TERMINALS

**Assistant Commissioner for Patents** Washington, D.C. 20231

Examiner:

J. Young

Group Art Unit:

2162

Attorney Docket No: 97-558

Customer No.:

22927

**Notification of Fee Status Change** 

Pursuant to 37 CFR § 1.27



RECEIVED

Technology Center 2100

ASSERTION OF SMALL ENTITY STATUS

Dear Sir:

The assignee of the above-identified application no longer claims large entity status. This notification is made pursuant to 37 CFR §1.27.

If the Examiner has any questions about this status change, the Examiner is invited to contact the undersigned at (203) 461-7337. While no fees are believed due in connection with this paper, please charge any fees that may be required for this paper, or with any other papers filed in connection with this application to Deposit Account No. 50-0271.

March 27, 2002

Date

Respectfully submitted.

Dean P. Alderucci Attorney for Applicants

Registration No. 40,484

Walker Digital, LLC

Five High Ridge Park

Stamford, CT 06905-1326

(203) 461-7337 /phone

(203) 461-7300 /fax

Alderucci@walkerdigital.com

CONTROL OF THE CONTRO					Docket No. 97-558
In Re Application Of JAYS WALKER et al.					
Serial No.		g Date	Examiner		Group Art Unit
09/045,036	. A	20, 1998	J. Young		2162
Invention:					
	APPARATUS FOR FAC	CILITATING THE	E PLAY OF FRACTION	AL LOTTE	RY TICKETS
UTILIZING POI	NT-OF-SALE TERMIN	ALS			
	<u>TO THE /</u>	ASSISTANT COM	MISSIONER FOR PATE	ENTS:	
response to the 0	Office Action of Sept	ember 27, 2001 in Date	ovisions of 37 CFR 1.136 In the above-identified app	(a) to exter dication.	nd the period for filing a
	xtension is as follows (o	-			u
☐ One mo	onth U Two mo	onths 🖾 Th		ir months	☐ Five months
from:	December 27, 20	)01	until: Ma	Date 27, 200	)2
	Dute				RECEIVED
A verified statem	nent of small entity statu	is as a small entit	y under 37 CFR 1.27:		ADD OF
	·	,.		~	APR 0,3 2002
□ has already been filed in this application.  Technology Center 2100					
	,				"" <sup>IUIO</sup> Gy Center 2100
The fee for the a		on of time has be	en calculated as shown l		iniology Center 2100
The fee for the a			en calculated as shown l		THOOGY Center 2100
The fee for the a				pelow:	ADDITIONAL
The fee for the a	amendment and extensi	CLAIMS A	S AMENDED  NUMBER EXTRA		
The fee for the a	CLAIMS REMAINING	CLAIMS A	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT	pelow:	ADDITIONAL FEE
	CLAIMS REMAINING AFTER AMENDMENT	CLAIMS A HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT 0	RATE	ADDITIONAL FEE 00 \$0.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 -	CLAIMS A HIGHEST # PREV. PAID FOF 36 =	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0	RATE  x \$9.  x \$42	ADDITIONAL FEE 00 \$0.00 .00 \$0.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 -	CLAIMS A HIGHEST # PREV. PAID FOF 36 =	NUMBER EXTRA CLAIMS PRESENT 0 0	RATE  x \$9.  x \$42  AMENDME	ADDITIONAL FEE  00 \$0.00 .00 \$0.00 NT \$0.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 - 12 -	CLAIMS A HIGHEST # PREV. PAID FOF 36 = 12 =	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0  0  FEE FOR A	RATE  x \$9.  x \$42  AMENDME	ADDITIONAL FEE 00 \$0.00 .00 \$0.00 NT \$0.00 ME \$460.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 - 12 -	CLAIMS A HIGHEST # PREV. PAID FOF 36 = 12 =	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0  0  FEE FOR A  FEE FOR EXTENS	RATE  x \$9.  x \$42  AMENDME	ADDITIONAL FEE  00 \$0.00 .00 \$0.00  NT \$0.00  ME \$460.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 - 12 -	CLAIMS A HIGHEST # PREV. PAID FOF 36 = 12 =	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0  0  FEE FOR A  FEE FOR EXTENS	RATE  x \$9.  x \$42  AMENDME	ADDITIONAL FEE  00 \$0.00 .00 \$0.00  NT \$0.00  ME \$460.00
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## **COMBINED AMENDMENT & PETITION FOR EXTENSION OF** TIME UNDER 37 CFR 1.136(a) (Small Entity)

CC:

203.461.7300/fax

Customer No. 22927

Alderucci@walkerdigital.com

Docket No. 97-558

The	fee for the amendment and	extension of ti	me is to be paid	as follows:	
	A check in the amount of	\$460.00	for the amen	dment and extensio	n of time is enclosed.
X	Please charge Deposit Acc A duplicate copy of this she			the amount of \$46	50.00
	The Commissioner is hereby communication or credit and A duplicate copy of this sheet Any additional filing features.  Any patent application	y overpaymer eet is enclosed es required ur	nt to Deposit Acc d. nder 37 C.F.R. 1	ount No. 50-0271	ees associated with this
	If an additional extension of which may be required to D				erefor and charge any additional fees copy of this sheet is enclosed.
					RECEIVED
					APR 9 3 2002
					Technology Center 2100
			Date	ed: <b>March 27, 200</b>	2
Dean I	Signature P. Alderucci				
Attorn	ey for Applicants			I certify that March 27, 200	this document and fee is being deposited 2 with the U.S. Postal Service as
	Registration No. 40,484 1.7337/phone				under 37 C.F.R. 1.8 and is addressed to the nmissioner for Patents, Washington, D.C.

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence

Signature of Person Mailing Correspondence

20231.

P28SMALL/REV03

					Docket No. 97-558
In Re Application Of JAY S. WALKER et al.					
Serial No.	Filin	g Date	Examiner		Group Art Unit
09/045,036	ATENT & THE March	20, 1998	J. Young		2162
Invention:					
METHOD AND A	PPARATUS FOR FAC	CILITATING THE	E PLAY OF FRACTION	AL LOTTE	RY TICKETS
UTILIZING POIN	T-OF-SALE TERMIN	IALS			
	TO THE A	ASSISTANT COM	IMISSIONER FOR PATE	ENTS:	
This is a combined amendment and petition under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of September 27, 2001 in the above-identified application.  Date					
The requested ext	tension is as follows (o	heck time period	desired):		
☐ One mo	nth 🔲 Two mo	onths 🗵 Th	nree months 🔲 Fou	ır months	☐ Five months
from:	December 27, 20	001	until: Ma	arch 27, 200	2
•	Date			Date	RECEIVED
A verified statement of small entity status as a small entity under 37 CFR 1.27: APR 0 3 2002					
<ul> <li>is enclosed.</li> <li>has already been filed in this application.</li> </ul> Technology Center 2100					
The fee for the amendment and extension of time has been calculated as shown below:					
The fee for the an	nendment and extensi	on of time has be	en calculated as shown t	below:	
The fee for the an	nendment and extensi		en calculated as shown t	below:	
The fee for the an	nendment and extensi				ADDITIONAL
The fee for the an		CLAIMS A	S AMENDED  NUMBER EXTRA	RATE	ADDITIONAL FEE
	CLAIMS REMAINING	CLAIMS A HIGHEST # PREV. PAID FOR	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0	RATE x \$9.0	FEE 90.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT	CLAIMS A	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0	RATE	FEE 90.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 -	CLAIMS A HIGHEST # PREV. PAID FOR	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0	x \$9.0 x \$42.	FEE 00 \$0.00 00 \$0.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 -	CLAIMS A HIGHEST # PREV. PAID FOR	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0  0	x \$9.0 x \$42	FEE 50.00 \$0.00 \$0.00 NT \$0.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 - 12 -	CLAIMS AT HIGHEST # PREV. PAID FOR 36 = 12 =	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0  0  FEE FOR A	RATE  x \$9.1  x \$42.  AMENDMENT  ION OF TIME	FEE 00 \$0.00 00 \$0.00 NT \$0.00 1E \$460.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 - 12 -	CLAIMS AT HIGHEST # PREV. PAID FOR 36 = 12 =	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0  0  FEE FOR A  FEE FOR EXTENSI	RATE  x \$9.1  x \$42.  AMENDMENT  ION OF TIME	FEE 00 \$0.00 00 \$0.00 NT \$0.00 1E \$460.00
The fee for the an	CLAIMS REMAINING AFTER AMENDMENT 36 - 12 -	CLAIMS AT HIGHEST # PREV. PAID FOR 36 = 12 =	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0  0  FEE FOR A  FEE FOR EXTENSI	RATE  x \$9.1  x \$42.  AMENDMENT  ION OF TIME	FEE 00 \$0.00 00 \$0.00 NT \$0.00 1E \$460.00
TOTAL CLAIMS	CLAIMS REMAINING AFTER AMENDMENT 36 - 12 -	CLAIMS AT HIGHEST # PREV. PAID FOR 36 = 12 =	S AMENDED  NUMBER EXTRA  CLAIMS PRESENT  0  0  FEE FOR A  FEE FOR EXTENSI	RATE  x \$9.1  x \$42.  AMENDMENT  ION OF TIME	FEE 00 \$0.00 00 \$0.00 NT \$0.00 1E \$460.00

## COMBINED AMENDMENT & PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) (Small Entity)

Docket No. 97-558

The fee for the amendment and extension of time is to be paid as follows:  A check in the amount of \$460.00 for the amendment and extension of time is enclosed.  Please charge Deposit Account No. 50-0271 in the amount of \$460.00 A duplicate copy of this sheet is enclosed.  The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0271 A duplicate copy of this sheet is enclosed.  Any additional filing fees required under 37 C.F.R. 1.16.  Any patent application processing fees under 37 CFR 1.17.  If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0271 A duplicate copy of this sheet is enclosed.
<ul> <li>✓ Please charge Deposit Account No. 50-0271 in the amount of \$460.00 A duplicate copy of this sheet is enclosed.</li> <li>✓ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0271 A duplicate copy of this sheet is enclosed.</li> <li>✓ Any additional filing fees required under 37 C.F.R. 1.16.</li> <li>✓ Any patent application processing fees under 37 CFR 1.17.</li> <li>✓ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees</li> </ul>
A duplicate copy of this sheet is enclosed.  The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0271  A duplicate copy of this sheet is enclosed.  Any additional filing fees required under 37 C.F.R. 1.16.  Any patent application processing fees under 37 CFR 1.17.
communication or credit any overpayment to Deposit Account No. 50-0271  A duplicate copy of this sheet is enclosed.  Any additional filing fees required under 37 C.F.R. 1.16.  Any patent application processing fees under 37 CFR 1.17.  If an additional extension of time is required, please consider this a petition therefor and charge any additional fees

Dated: March 27, 2002

Dean P. Alderucci Attorney for Applicants PTO Registration No. 40,484 203.461.7337/phone 203.461.7300/fax

Signature

Customer No. 22927

Alderucci@walkerdigital.com

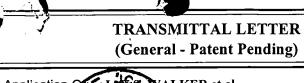
CC:

I certify that this document and fee is being deposited March 27, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence



Docket No.

(General - Patent Pending)		97-558
In Re Application O. EJAFS, WALKER et al.		
Serial No. 18 Filing Date	Examiner	Group Art Unit
09/045,036 March 20, 1998	J. Young	2162
Title:	<del></del>	
METHOD AND APPARATUS FOR FACILITATING THE	PLAY OF FRACTIONAL LO	TTERY TICKETS
UTILIZING POINT-OF-SALE TERMINALS		
UTILIZANG TOINT-OF-SALE TERMINALS	•	
Transmitted herewith is:  Assertion of Small Entity Status, 1 pg.; Combined Amendment & Petition for Extension of Time Amendment and Response to the Non-final Office Action, Return Receipt Postcard.		RECEIVED  APR 0, 3 2002  Technology Center 2100
in the above identified application.  □ No additional fee is required. □ A check in the amount of is attacted. □ The Assistant Commissioner is hereby authorized to as described below. A duplicate copy of this sheet. □ Charge the amount of \$460.00 □ Credit any overpayment. □ Charge any additional fee required.	to charge and credit Deposit Ac	count No. 50-0271
Signature	Dated: March 27, 2002	

Dean P. Alderucci **Attorney for Applicants** PTO Registration No. 40,484 203.461.7337/phone 203.461.7300/fax

Customer No. 22927

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Veronika S. Leliever

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